

# TRANSMITTAL FORM

Application Serial No.:	10/720,845
Filing Date:	November 24, 2003
First Named Inventor	Jones
Group Art Unit	3728
Examiner Name	Not Yet Assigned
Attorney Docket No.	ADI-099

## ENCLOSURES (check all that apply)

- |  |   |   |
|--|---|---|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Check Attached<br><input type="checkbox"/> Copy of Fee Transmittal Form<br><br><input type="checkbox"/> Amendment/Response<br><br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Letter to Official<br>Draftsperson including Drawings [Total Sheets ____]<br><br><input type="checkbox"/> Extension of Time Request<br><br><input checked="" type="checkbox"/> Second Supplemental Information Disclosure Statement<br><input checked="" type="checkbox"/> PTO-1449<br><input checked="" type="checkbox"/> Cited references B4-B6<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><br><input type="checkbox"/> Response to Missing Parts/Incomplete Application | <input type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553)<br><br><input type="checkbox"/> Formal Drawing(s)<br><br><input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition to Convert to a Provisional Application<br><br><input type="checkbox"/> Power of Attorney (Revocation of Prior Powers)<br><br><input type="checkbox"/> Terminal Disclaimer<br><br><input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application<br><br><input type="checkbox"/> Small Entity Statement<br><br><input type="checkbox"/> Request for Refund<br><br><input type="checkbox"/> After Allowance Communication to Group | <input type="checkbox"/> Appeal Communication to Board of Patent Appeals and Interferences<br><br><input type="checkbox"/> Appeal Communication to Group ( <i>Appeal Notice, Brief, Reply Brief</i> )<br><br><input type="checkbox"/> Status Letter<br><br><input checked="" type="checkbox"/> Return Receipt Postcard<br><input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8<br><br><input type="checkbox"/> Additional Enclosure(s) ( <i>please identify below</i> ) |
|--|---|---|

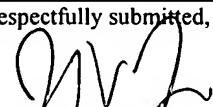
## CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator  
 Testa, Hurwitz & Thibault, LLP  
 High Street Tower  
 125 High Street  
 Boston, MA 02110  
 Tel. No.: (617) 248-7000  
 Fax No.: (617) 248-7100

## SIGNATURE BLOCK

Date: November 9, 2004  
 Reg. No. 42,545  
 Tel. No.: (617) 248-7675  
 Fax No.: (617) 248-7100  
 PTO Customer No. 021323

Respectfully submitted,

  
 John V. Forcier  
 Attorney for Applicants  
 Testa, Hurwitz & Thibault, LLP  
 High Street Tower  
 125 High Street  
 Boston, MA 02110



Attorney Docket No. ADI-099

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT: Jones et al. CONFIRMATION NO.: 3619  
SERIAL NO.: 10/720,845 GROUP NO.: 3728  
FILING DATE: November 24, 2003 EXAMINER: Not Yet Assigned  
TITLE: Shoe Closure System

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. In accordance with the U.S. Patent Office's partial waiver of the requirement under 37 C.F.R. 1.98(a)(2)(i), only copies of the foreign patent documents are enclosed.

**REMARKS**

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed (CHECK ONE):

- ☒ (1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office action** on the merits, or before the mailing of a **first Office action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or
- ☐ (2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 C.F.R. 1.311, and
- ☐ the requisite Statement is below, **OR**
- ☐ the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein, or
- ☐ (3) after the mailing date of a **final action** or **notice of allowance** but before the payment of the **issue fee**, **AND**

- ☐ the requisite Statement is below, **AND**
- ☐ the requisite petition fee under 37 C.F.R. 1.17(p), namely **\$180.00** is included herein.

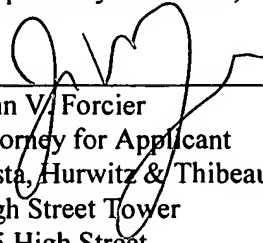
It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

**STATEMENT**

As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that [check the appropriate space only if either (2) or (3) is checked on the previous page and the Statement is required]:

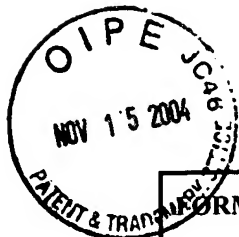
- ☐ 1. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application **not more than three months** prior to the filing of the Information Disclosure Statement; or
- ☐ 2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to **any individual** designated in 37 C.F.R. 1.56(c) **more than three months** prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

  
\_\_\_\_\_  
John V. Forcier  
Attorney for Applicant  
Testa, Hurwitz & Thibault, LLP  
High Street Tower  
125 High Street  
Boston, Massachusetts 02110

Date: November 9, 2004  
Reg. No. 42,545  
Tel. No.: (617) 248-7675  
Fax No.: (617) 248-7100  
PTO Customer No. 021323

3140476



<b>FORM PTO - 1449</b>				<b>ATTORNEY DOCKET NO.: ADI-099</b>					
<b>SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT</b>				APPLICANTS: Jones et al.					
				SERIAL NO.: 10/720,845					
				FILING DATE: 11/24/2003 GROUP: Not Yet Assigned					
<b>U.S. PATENT DOCUMENTS</b>									
<b>EXAM. INIT.</b>		<b>DOCUMENT NUMBER</b>	<b>DATE</b>	<b>NAME</b>	<b>CLASS</b>	<b>SUB CLASS</b>	<b>FILING DATE IF APPROPRIATE</b>		
	A14	2004/0181972	9/23/04	Csorba					
	A15	2004/0168356	9/2/04	Edlauer					
	A16	3,845,575	11/5/74	Boden					
	A17	4,654,985	4/7/87	Chalmers					
	A18	4,694,592	9/22/87	Baggio et al.					
	A19	4,893,418	1/16/90	Arieh et al.					
	A20	4,937,952	7/3/90	Olivieri					
	A21	5,381,609	1/17/95	Hieblinger					
	A22	5,657,557	8/19/97	Hull et al.					
	A23	6,474,000	11/5/02	Burt					
	A24	6,502,329	1/7/03	Silagy					
<b>FOREIGN PATENT DOCUMENTS</b>									
<b>EXAM. INIT.</b>		<b>DOCUMENT NUMBER</b>	<b>DATE</b>	<b>COUNTRY CODE</b>	<b>CLASS</b>	<b>SUB CLASS</b>	<b>FILING DATE</b>	<b>ABSTRACT ONLY</b>	<b>ENGLISH LANG (Y/N)</b>
	B4	2 450 575	3/7/79	FR				Y	N
	B5	2 692 115	6/10/92	FR				N	Y
	B6	2 695 304	9/8/92	FR				N	Y
<b>OTHER ART, JOURNAL ARTICLES, ETC.</b>									
<b>EXAM. INIT.</b>	<b>OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)</b>								
<b>EXAMINER</b>					<b>DATE CONSIDERED</b>				

3140492



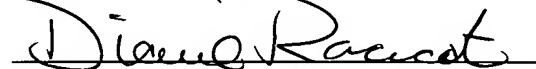
**PATENT**  
Attorney Docket No. ADI-099

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANTS:	Jones et al.	CONFIRMATION NO.:	3619
SERIAL NO.:	10/720,845	GROUP NO.:	3728
FILING DATE:	November 24, 2003	EXAMINER:	Not Yet Assigned
TITLE:	Shoe Closure System		

**CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8**

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 9<sup>th</sup> day of November, 2004.

  
Diane Racicot

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Submitted herewith are:

1. Transmittal Form (1 page);
2. Second Supplemental Information Disclosure Statement (2 pages);
3. Form PTO-1449 (1 page);
4. Cited References B4-B6;
5. and a return receipt postcard.

3140474